

02-24-04

FSUM 10467.21 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Robert A. Holton Serial No: 10/720,826

Art Unit 3749

Serial No. 10/720,826 Filed November 24, 2003 Confirmation No. 5352

For C7 HETEROS

C7 HETEROSUBSTITUTED ACETATE TAXANE COMPOSITIONS

February 23, 2004

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant submits the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

The references listed on the attached PTO/SB/08A have been previously submitted in parent application Serial No. 09/776,393 and, therefore, in accordance with MPEP 609, are not being provided at this time.

Respectfully submitted,

Bradley S. Schammel, Reg. No. 54,667

waday S. Silnum

SENNIGER, POWERS, LEAVITT & ROEDEL

One Metropolitan Square, 16th Floor

St. Louis, Missouri 63102

(314) 231-5400

BSS/JPK/mlw

Express Mail Label No. EV 416450096 US

	PTO/SB/	/08A	_		Complete if Known		
	IN	FORMATION I	DISC	LOSURE	Application Number	10/720,826	
7	s ⁻	TATEMENT BY	/ AP	PLICANT	Filing Date	November 24, 2003	
	PUSO	as many shee	ts as	necessary)	Confirmation Number	5352	
/		57 /			First Named Inventor	Robert A. Holton	
	FEB 2: 3 200				Group Art Unit	3749	
(A)	MADENA	et of			Examiner Name	To Be Assigned	
	Sheet	1	of	4	Attorney Docket No.	FSUM 10467.21	

	1	T		T DOCUMENTS		
Examiner Initials*	Cite No.1	U.S. Patent Doc	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	
- 1	1	4,960,790		Stella et al.	10-02-1990	
	2	5,175,315		Holton	12-29-1992	
	3	5,277,400		Holton et al.	07-13-1993	
	4	5,243,045		Holton et al.	09-07-1993	
	5	5,283,253		Holton et al.	02-01-1994	
	6	5,350,866		Holton et al.	09-27-1994	
	7	5,430,160		Holton	07-04-1995	
	8	5,567,614		Patel et al.	10-22-1996	
	9	5,614,645		Kingston et al.	03-25-1997	
	10	5,714,513		Holton et al.	02-03-1998	
	11	5,721,268		Holton et al.	02-24-1998	
	12	5,767,297		Mandai et al.	06-16-1998	
	13	5,780,653		Tao et al.	07-14-1998	
	14	5,811,452		Ojima et al.	09-22-1998	
	15	5,879,929		Patel	03-09-1999	
	16	5,912,264		Wittman et al.	06-15-1999	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

PTO/SB/	/08A			Complete if Known		
I	FORMATION	DISC	LOSURE	Application Number	10/720,826	
S	TATEMENT B	Y AP	PLICANT	Filing Date	November 24, 2003	
O(uBe	many shee	ets as	necessary)	Confirmation Number	5352	
	45/4			First Named Inventor	Robert A. Holton	
FEB 2 3 2	2321			Group Art Unit	3749	
TRADEN	ARK SEE			Examiner Name	To Be Assigned	
Sheet	2	of	4	Attorney Docket No.	FSUM 10467.21	

	17	5,939,5	61		Bourzat et al.	08-17-1999	
	18	6,136,9	88	Murray et al.		10-24-2000	
			FOREIG	N PATE	ENT DOCUMENTS		
	Foreign Patent Document						
Examiner Initials*	Cite No. ¹	Office	Number⁴	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ₆
	19	HU	212 577 B		Rohn-Poulenc Rorer S.A. Antony, FR	02-04-1993	
	20	EP	0 534 709	A1	Florida State University	03-31-1993	
	21	EP	0 558 959	B1	Bristol-Myers Squibb Co.	09-08-1993	
	22	EP	0 629 701	B1	Bristol-Myers Squibb Co.	12-21-1994	
	23	EP	0 882 732	A1	Ensuiko Sugar Refining Company, Ltd.; Madndai, Tadakatsu; Kaken Pharmaceutical Co., Ltd.	12-09-1998	
	24	JP	09-241293		Ensuiko Sugar Refining Co., Ltd.	09-16-1997	
	25	wo	93/18018	A1	University of Florida	09-16-1993	
	26	wo	96/13495	A1	The Research Foundation of State University of New York	05-09-1996	
	27	wo	97/09979	A1	Florida State University	03-20-1997	
	28	wo	97/42181	A1	Florida State University	11-13-1997	
	29	wo	99/09021	A1	Florida State University	02-25-1999	

	 		·	
Examiner		Date		
Signature		Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

	30	wo	01/57013	A1	Florida State University Research Foundation, Inc.	08-09-2001					
	1	OTH	IER ART - NON	PATEN	T LITERATURE DOCUMEN	TS .					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.									
	31	GUERITTE-VOEGELEIN et al. "Relationships between the Structure of Taxol Analogues and Their Antimitotic Activity" Journal of Medicinal Chemistry, Vol. 34, No. 3 (1991) pp. 992-998.									
	32	III. Syr	KANT et al. "A Chemoselective Approach to Functionalize the C-10 Position of 10-Deacetylbaccatin III. Synthesis and Biological Properties of Novel C-10 Taxol Analogues" Tetrahedron Letters, Vol. 35, No. 30 (1994) pp. 5543-5546.								
	33	KINGSTON et al. "The Chemistry of Taxol, A Clinically Useful Anticancer Agent" Journal of Natural Products, Vol. 53, No. 1 (1990) pp. 1-12.									
	34	MELLADO et al. "Preparation and Biological Activity of Taxol Acetates" Biochemical and Biophysical Research Communications, Vol. 124, No. 2 (1984) pp. 329-336.									
	35	SAMARANAYAKE et al. "Modified Taxols. 5. Reaction of Taxol with Electrophilic Reagents and Preparation of a Rearranged Taxol Derivative with Tubulin Assembly Activity" J. Org. Chem., Vol. 56, No. 17 (1991) pp. 5114-5119.									
	36	STRAUBINGER et al. "Pharmacology and Antitumor Effect of Novel Placlitaxel Formulations" Chapter 8, Edited by G. Georg et al., Taxane Anticancer Agents, Basic Science and Current Status, ACS Symposium Series 583, 207th National Meeting of the American Chemical Society, San Diego, CA (1994) pp. 111-123.									
	37	SUFFNESS "Chapter 32. Taxol: From Discovery to Therapeutic Use" Annual Reports in Medicinal Chemistry, Vol. 28 (1993) pp. 305-314.									
	38	Hungarian Patent Office, Novelty Search Report for Application No. P02 00651, dated August 29, 2002									
	39	Interna 2001.	tional Search Report	from analog	gous PCT application No. PCT/US01/	03600 dated June 7,					

Examiner	,	Date	,
Signature		Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..